

# Generator Sets for the Alternating Group

Aviv Rotbart<sup>1 2</sup>

## ABSTRACT

Although the alternating group is an index 2 subgroup of the symmetric group, there is no generating set that gives a Coxeter structure on it. Various generating sets were suggested and studied by Bourbaki, Mitsuhashi, Regev-Roichman, Vershik-Vserminov and others. In a recent work of Brenti-Reiner-Roichman it is explained that palindromes in Mitsuhashi's generating set play a role similar to that of reflections in a Coxeter system.

We study in detail the length function with respect to the set of palindromes. Results include an explicit combinatorial description, a generating function, and an interesting connection to Broder's restricted Stirling numbers.

## 1. INTRODUCTION

The study of parameters (statistics) of the symmetric group and other related groups is a very active branch of combinatorics in recent years. A major step was made about one hundred years ago, when MacMahon [6] showed that the parameters *major index* and *inversion number* are equi-distributed on the symmetric group,  $S_n$ . This important result is the foundation of the field, and stimulated many subsequent generalizations and refinements.

It is well known that statistics on  $S_n$  may be defined via its Coxeter generators (simple reflections)  $\{s_i = (i, i+1) \mid 1 \leq i \leq n-1\}$ , or via the transpositions (reflections)  $\{t_{ij} = (i, j) \mid 1 \leq i < j \leq n\}$ . Unfortunately, the alternating group  $A_n \subseteq S_n$  is not a Coxeter group. Our goal is to study generating sets for the alternating group that play a role similar to that of reflections in the symmetric group, and to explore the combinatorial properties of  $A_n$  based on these sets.

A good candidate is the set  $\{s_1 s_{i+1} = (1, 2)(i+1, i+2) \mid 1 < i < n-1\}$ . Mitsuhashi [7] pointed out that these generators for the alternating group play a role similar to that of the above Coxeter generators of  $S_n$ . Regev and Roichman [8] describe a canonical presentation of the elements in  $A_n$  based on this set. They also calculate the generating functions of length and other statistics, with respect to this set of generators.

Our work deals with  $A_n$ -statistics calculated with respect to a new set of generators,  $\{s_1 t_{ij} = (1, 2)(i, j) \mid 1 \leq i < j \leq n\}$ . This set consists of palindromes in Mitsuhashi's generators discussed above. As Brenti, Reiner and Roichman [3] explain, these palindromes play a role similar to that of reflections in the symmetric group. The following diagram describes the relations between the four generating sets mentioned above.

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<sup>1</sup>Department of Mathematics, Bar-Ilan University, Ramat Gan 52900, Israel.

Email: [aviv.rotbart@gmail.com](mailto:aviv.rotbart@gmail.com)

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$$\begin{array}{ccc}
S_n\text{-Coxeter} & \xrightarrow{\text{conjugate by } S_n} & S_n\text{-Transpositions} \\
C = \{s_i \mid 1 \leq i \leq n-1\} & & T = \{t_{ij} \mid 1 \leq i < j \leq n\} \\
\downarrow \text{multiply by } s_1 & & \downarrow \text{multiply by } s_1 \\
A_n\text{-Coxeter (Mitsuhashi)} & \xrightarrow{\text{take palindromes}} & A_n\text{-Transpositions} \\
C(A_n) = \{s_1 s_{i+1} \mid 1 < i \leq n-1\} & & T(A_n) = \{s_1 t_{ij} \mid 1 \leq i < j \leq n\}
\end{array}$$

Various aspects of the generating set  $T(A_n)$  are studied in this work, including: canonical forms of elements in  $A_n$  with respect to  $T(A_n)$ ; length of elements and the relation between length and number of cycles; a generating function for length expectation and variance for length; and finally a connection with Broder's restricted Stirling numbers [2].

The methods used in this work include: manipulations on generating functions of Stirling numbers; theorems on the number of cycles of a permutation and on the number of permutations of a given length in  $S_n$ ; bijections between certain subsets of permutations in  $A_n$ ,  $S_n$  and permutations with Broder's property (see Definition 2.13).

The paper is organized as follows : Detailed background and notations for the symmetric and alternating groups, as well as for Stirling numbers, is given in Section 2. In Section 3 we present the main results achieved in this work. The  $A$  canonical presentation is analyzed in Section 4. In section 5 we discuss refined counts of permutations in  $A_n$ , while the relation between length and the number-of-cycles statistic is analyzed in Section 6. In Section 7 we calculate the generating function of length with respect to the generating set  $T(A_n)$ . The expectation and variance of the length function are studied in Section 8. The relation between our results and restricted Stirling numbers is analyzed in Section 9.

## 2. BACKGROUND

**2.1. The Symmetric Group.** In this subsection we present the main notations, definitions and theorems on the symmetric group, denoted  $S_n$ .

**Notation 2.1.** Let  $n$  be a nonnegative integer, then  $[n] := \{1, 2, 3, \dots, n\}$  (where  $[0] := \emptyset$ ).

**Definition 2.2.** Denote by  $\mathbb{N}$  the set of natural numbers. The symmetric group on  $n \in \mathbb{N}$  letters (denoted  $S_n$ ) is the group consisting of all permutations on  $n$  letters, with composition as the group operation.

**Definition 2.3.** Given a permutation  $v \in S_n$ , we say that a pair  $(i, j) \in [n] \times [n]$  is an inversion of  $v$  if  $i < j$  and  $v(i) > v(j)$ . If  $(i, i+1)$  is a transposition of  $v$  then it is called an adjacent transposition.

**Definition 2.4.** The Coxeter generators of  $S_n$  are

$$\{s_i = (i, i+1) \mid 1 \leq i \leq n-1\},$$

i.e., all the adjacent transpositions.

It is a well-known fact that the symmetric group is a Coxeter group with respect to the above generating set. The following natural statistic describes the length of permutations in the symmetric group, with respect to the Coxeter generating set:

**Definition 2.5.** The length of a permutation  $v \in S_n$  with respect to the Coxeter generators is defined to be

$$\ell_C(v) := \min\{ r \geq 0 \mid v = s_{i_1} \dots s_{i_r} \text{ for some } i_1, \dots, i_r \in [n-1] \}.$$

**Definition 2.6.** The inversion number of  $v \in S_n$  is

$$\text{inv}(v) := |\{(i, j) \mid 1 \leq i < j \leq n, v(i) > v(j)\}|$$

**Fact 2.7.** For each  $v \in S_n$ ,

$$\text{inv}(v) = \ell_C(v)$$

Another important set of generators for  $S_n$  is the set of all transpositions.

**Notation 2.8.** Denote by  $T$  the set of all transpositions in  $S_n$ , i.e.

$$T = \{(i, j) \mid 1 \leq i < j \leq n\}$$

The definition of length with respect to  $T$  is similar.

**Definition 2.9.** Let  $v \in S_n$ , then

$$\ell_T(v) := \min\{ r \geq 0 \mid v = t_1 \dots t_r, \quad t_i \in T \}.$$

A well known result describes the connection between the number of cycles and this length statistics in  $S_n$ .

**Theorem 2.10.** If  $\text{cyc}(v)$  is the number of cycles in  $v \in S_n$ , then

$$\ell_T(v) + \text{cyc}(v) = n$$

This result will be useful in some of the proofs in this work.

**2.2. The Alternating Group.** In this section we will define the alternating group, which is a subgroup of the symmetric group. We will also describe a known generating set for this group and the corresponding generating function of length.

**Definition 2.11.** The Alternating Group on  $n$  letters, denoted  $A_n$ , is the group consisting of all even permutations in the symmetric group  $S_n$ ; i.e.,  $A_n := \{v \in S_n \mid \text{sign}(v) = 1\}$ .

Following Mitsuhashi [7] we let

$$a_i := s_1 s_i = (1, 2)(i, i+1) \quad (2 \leq i \leq n-1).$$

The set  $C(A_n) := \{a_i \mid 2 \leq i \leq n-1\}$  generates the alternating group on  $n$  letters,  $A_n$ .

Regev and Roichman [8] used Mitsuhashi's generators to describe a covering map  $f : A_{n+1} \rightarrow S_n$ , which allows us to translate  $S_n$ -identities into corresponding  $A_{n+1}$ -identities. They gave a formula for the generating function of length with respect to these generators.

**Proposition 2.12.** [8, Thm. 6.1]

$$\sum_{w \in A_{n+1}} q^{\ell_{C(A_n)}(w)} = (1 + 2q)(1 + q + 2q^2) \cdots (1 + q + \dots + q^{n-2} + 2q^{n-1})$$

Where  $\ell_{C(A_n)}(\cdot)$  is the length with respect to Mitsuhashi's generators.

**2.3. Stirling Numbers.** For basic properties of Stirling numbers the reader is referred to [9]. In this subsection we will describe one important generalization of them, Broder's [2] restricted Stirling numbers.

**Definition 2.13.** *The unsigned  $r$ -restricted Stirling number of the first kind, denoted  $\left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right]_r$ , is the number of permutations of the set  $\{1, 2, \dots, n\}$  with  $k$  disjoint cycles, with the restriction that the numbers  $1, 2, \dots, r$  belong to distinct cycles. The case  $r = 1$  gives the usual unsigned Stirling numbers of the first kind.*

**Definition 2.14.** *The Kronecker delta function is defined as follows.*

$$\delta_{i,j} = \begin{cases} 1, & \text{if } i = j; \\ 0, & \text{otherwise.} \end{cases}$$

**Claim 2.15.**  *$r$ -Stirling numbers of the first kind satisfy the same recurrence relation as unsigned Stirling numbers of the first kind, except for the initial conditions:*

$$\left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right]_r = (n-1) \left[ \begin{smallmatrix} n-1 \\ k \end{smallmatrix} \right]_r + \left[ \begin{smallmatrix} n-1 \\ k-1 \end{smallmatrix} \right]_r \quad (r < k < n)$$

*with the following boundary conditions:*

$$\begin{aligned} \left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right]_r &= 0, & (k < r \text{ or } n < k); \\ \left[ \begin{smallmatrix} n \\ r \end{smallmatrix} \right]_r &= \frac{(n-1)!}{(r-1)!}, & (r \leq n); \\ \left[ \begin{smallmatrix} n \\ n \end{smallmatrix} \right]_r &= 1, & (r \leq n). \end{aligned}$$

**Theorem 2.16.** [2, §6.9] *The generating function of unsigned  $r$ -restricted Stirling numbers of the first kind is*

$$\sum_{k=0}^n \left[ \begin{smallmatrix} n \\ k \end{smallmatrix} \right]_r \cdot x^k = \begin{cases} x^r (x+r)(x+r+1) \cdots (x+n-1) & \text{if } 1 \leq r \leq n; \\ 0 & \text{otherwise.} \end{cases}$$

**Definition 2.17.** *The  $r$ -restricted Stirling number of the second kind, denoted  $\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}_r$ , is the number of ways to partition the set  $\{1, 2, \dots, n\}$  into  $k$  nonempty disjoint subsets with the restriction that the numbers  $1, 2, \dots, r$  belong to distinct subsets. The case  $r = 1$  gives the usual Stirling numbers of the second kind.*

**Claim 2.18.**  *$r$ -restricted Stirling numbers of the second kind satisfy the same recurrence relation as Stirling numbers of the second kind, except for the initial conditions.*

$$\left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}_r = k \cdot \left\{ \begin{smallmatrix} n-1 \\ k \end{smallmatrix} \right\}_r + \left\{ \begin{smallmatrix} n-1 \\ k-1 \end{smallmatrix} \right\}_r \quad (r < k < n)$$

with the following boundary conditions:

$$\begin{aligned} \left\{ \begin{matrix} n \\ k \end{matrix} \right\}_r &= 0, & (k < r \text{ or } n < k); \\ \left\{ \begin{matrix} n \\ k \end{matrix} \right\}_r &= r^{n-r}, & (r \leq n); \\ \left\{ \begin{matrix} n \\ n \end{matrix} \right\}_r &= 1, & (r \leq n). \end{aligned}$$

**Theorem 2.19.** [2, §6.10] *The generating function of  $r$ -restricted Stirling numbers of the second kind is*

$$\sum_{n=0}^{\infty} \left\{ \begin{matrix} n \\ k \end{matrix} \right\}_r \cdot x^n = \begin{cases} \frac{x^k}{(1-rx)(1-(r+1)x) \cdots (1-kx)}, & \text{if } 1 \leq r \leq k; \\ 0, & \text{otherwise.} \end{cases}$$

Restricted Stirling numbers of the first and second kind satisfy the same orthogonality relation as the usual (unsigned) Stirling numbers, as described in the following theorem.

**Theorem 2.20.** [2, §4.5]

$$\sum_{k=0}^n \left[ \begin{matrix} n \\ k \end{matrix} \right]_r \cdot \left\{ \begin{matrix} k \\ m \end{matrix} \right\}_r \cdot (-1)^k = \begin{cases} (-1)^n \cdot \delta_{m,n}, & \text{if } r \leq m \leq n; \\ 0, & \text{otherwise.} \end{cases}$$

#### 2.4. Harmonic Numbers.

**Definition 2.21.** *The  $n$ -th harmonic number, denoted by  $H_n$ , is the sum of the reciprocals of the first  $n$  positive integers:*

$$H_n = 1 + \frac{1}{2} + \frac{1}{3} + \cdots + \frac{1}{n}.$$

**Definition 2.22.** *The generalized  $n$ -th harmonic number of order  $m$ , denoted  $H_{n,m}$ , is*

$$H_{n,m} = 1 + \frac{1}{2^m} + \frac{1}{3^m} + \cdots + \frac{1}{n^m}.$$

### 3. MAIN RESULTS

In this section we present the main results of this paper. Details and proofs will be given in Sections 4-8.

Let

$$a_{ij} := s_1 t_{ij} = (12)(ij) \quad (1 \leq i < j \leq n).$$

The set of  $A$ -transpositions

$$T(A_n) := \{a_{ij} \mid 1 \leq i < j \leq n\}$$

generates the alternating group on  $n$  letters. The length of an element  $v \in A_n$  can be naturally defined with respect to the above generators:

$$\ell_{T(A_n)}(v) = \min\{k \geq 0 \mid v = v_1 \cdots v_k, \quad v_i \in T(A_n)\}$$

**Notation 3.1.** *Denote by  $a(n, m)$  the number of elements of length  $m$  in  $A_n$ .*

Our first result is a Stirling-type recursion for  $a(n, m)$ .

**Proposition 3.2.** (Corollary 5.4)

$$a(n, m) = (n-1) \cdot a(n-1, m-1) + a(n-1, m) \quad (0 < m < n)$$

with boundary conditions  $a(n, 0) = 1$  for  $n \geq 0$ , and  $a(n, n) = 0$  for  $n > 0$ .

The following result relates the length function to the cycle number.

**Proposition 3.3.** (Corollary 6.4) *Let  $v \in A_n$ ,  $n \geq 2$ . Then*

$$\ell_{T(A_n)}(v) = \begin{cases} n - \text{cyc}(v) & \text{if } 1, 2 \text{ are in different cycles of } v; \\ n - \text{cyc}(v) - 1 & \text{if } 1, 2 \text{ in the same cycle of } v. \end{cases}$$

(For  $n \leq 2$ ,  $A_n$  contains only the identity permutation.)

Note that the length function  $\ell_{T(A_n)}(v)$  is odd if and only if 1, 2 are in the same cycle in  $v$  (see Corollary 6.2).

**Proposition 3.4.** (Theorem 7.2) *For  $n \geq 2$ ,*

$$\begin{aligned} \sum_{v \in A_n} x^{\ell_{T(A_n)}(v)} &= \sum_{k=0}^n a(n, k) \cdot x^k \\ &= (1 + 2x)(1 + 3x) \cdots (1 + (n-1)x) \\ &= \prod_{t=2}^{n-1} (1 + tx) \end{aligned}$$

**Theorem 3.5.** (Theorem 8.4) *The expected value of  $\ell_{T(A_n)}$  is*

$$E[\ell_{T(A_n)}] = n - H_n - \frac{1}{2}$$

*and its variance is*

$$\text{Var}[\ell_{T(A_n)}] = H_n - H_{n,2} - \frac{1}{4}$$

Finally, we discuss a certain generalization of Stirling numbers and relate it to our statistic  $a(n, k)$ . The generalization discussed is Broder's restricted Stirling numbers [2], see Definitions 2.13 and 2.17. The connection was initially established using the On-Line Encyclopedia of Integer Sequences [11].

**Proposition 3.6.** (Theorem 9.2) *For  $0 \leq k \leq n-2$ ,*

$$a(n, k) = \left[ \begin{matrix} n \\ n-k \end{matrix} \right]_2$$

#### 4. THE $A$ CANONICAL PRESENTATION

In this section we consider a canonical presentation of elements in  $A_n$  by the corresponding  $s_1 t_{ij}$  generators.

**4.1. A Generating Set for  $A_n$ .** We let

$$a_{ij} := s_1 t_{ij} = (12)(ij) \quad (1 \leq i < j \leq n).$$

Denote by  $T(A_n) := \{a_{ij} \mid 1 \leq i < j \leq n\}$  the set of  $A$ -transpositions.

**Definition 4.1.** *For  $n \geq 3$  define the following subset of permutations in  $A_n$ :*

$$R_n = \{(12)(in) \mid 1 \leq i < n\} \cup \{e\}.$$

**Note 4.2.**  $R_n$  is a subset of  $T(A_n)$ .  $R_n = (T(A_n) \setminus T(A_{n-1})) \cup \{e\}$ .

**Theorem 4.3.** *Let  $v \in A_n$ ,  $n \geq 3$ . Then there exist unique elements  $v_i \in R_i$ ,  $3 \leq i \leq n$ , such that  $v = v_3 \cdots v_n$ . Call it the canonical presentation of  $v$ .*

**Lemma 4.4.** *Let  $k \in \mathbb{N}$ ,  $m_1, \dots, m_{2k} \in \{1, \dots, n\}$  be distinct and let  $v = (m_1 m_2) \cdots (m_{2k-1} m_{2k}) \in S_n$ ,  $m_1 \neq m_2, \dots, m_{2k-1} \neq m_{2k}$  be a product of transpositions. Then for every  $1 \leq i \leq 2k$ , there exist a presentation of  $v$  as a product of transpositions in which  $m_i$  appears in the rightmost factor only.*

**Proof of Lemma 4.4.** We will prove for  $i = 1$ . First we will prove for the case  $k = 2$ , i.e.  $v$  is a product of two cycles. If  $\{m_1, m_2\} \cap \{m_3, m_4\} = \emptyset$  then  $v = (m_1 m_2)(m_3 m_4) = (m_3 m_4)(m_1 m_2)$  as required. Else, if  $m_2 = m_3$  then  $v = (m_1 m_2)(m_2 m_4) = (m_1 m_2 m_4) = (m_2 m_4 m_1) = (m_2 m_4)(m_4 m_1)$  as required. The case  $m_2 = m_4$  is similar. Else, if  $m_1 = m_3$  then  $v = (m_1 m_2)(m_1 m_4) = (m_2 m_1 m_4) = (m_4 m_2 m_1) = (m_4 m_2)(m_2 m_1)$  as required. The case  $m_1 = m_4$  is similar. If  $m_1 = m_3$  and  $m_2 = m_4$  then  $v$  is the identity, thus its cycles are disjoint and commute with each other. All possible cases were checked and thus we finished.

Now we turn to the general case, where  $v$  is a product of  $k$  cycles. By induction the Lemma applies also for this case as we can perform the same steps described in the simple case repeatedly until the desired form of  $v$  is achieved.  $\square$

**Proof of Theorem 4.3.** By induction on  $n$ . For  $n = 3$ ,  $A_3 = \{(12)(13), (12)(23), e\} = R_3$  and thus the claim holds. Now assume that each  $w \in A_{n-1}$ ,  $n \geq 4$ , has a unique canonical presentation  $w = w_3 \cdots w_{n-1}$ ,  $w_i \in R_i$ . We will show that if  $v \in A_n$  then  $v$  has a unique canonical presentation as well. This actually follows from Lemma 4.4. We will assume that  $v \in A_n \setminus A_{n-1}$ , otherwise the proof follows immediately from the induction hypothesis. First we apply Lemma 4.4 to  $v$  to get  $n$  in the rightmost factor only. We have  $v = g_1 \cdots g_k = g_1 \cdots g_{k-1}(12)(12)g_k$  with  $n$  in  $g_k$  only. Now, since  $g_1 \cdots g_{k-1}(12) \in A_{n-1}$ , according to the hypothesis it has a unique canonical presentation, say  $w_1 \cdots w_t$ . Thus we have  $v = w_1 \cdots w_t(12)g_k$  and that is unique canonical presentation for  $v$ , because  $(12)g_k$  is unique.  $\square$

By Theorem 4.3 we conclude

**Corollary 4.5.** *The set of  $A$ -transpositions,  $T(A_n) := \{a_{ij} \mid 1 \leq i < j \leq n\}$ , generates the alternating group on  $n$  letters.*

**Definition 4.6.** *For  $v \in A_n$  with the canonical presentation  $v = v_3 \cdots v_n$ , let*

$$\hat{\ell}(v) = \#\{i \mid v_i \neq e\}$$

**Theorem 4.7.** *For all  $v \in A_n$ ,*

$$\hat{\ell}(v) = \ell_{T(A_n)}(v).$$

In other words the length of the canonical presentation coincides with the natural length with respect to the generating set  $T(A_n)$ .

**Proof of Theorem 4.7.** It suffices to show that if  $\hat{\ell}(v) = r$  then  $v$  can not be presented as a product of less than  $r$  generators. For  $n = 3$  it was shown that  $A_3 = R_3$ , thus all the elements in  $A_3$  are of length 1, except for the identity  $e$  whose length is 0. For  $n > 3$  denote the length of the canonical presentation of  $v$  by  $\hat{\ell}(v) = r$ . Denote the shortest presentation of  $v$  by  $v_2 = b_1 \cdots b_k$ ,  $b_i \in T(A_n)$ . Then  $\ell_{T(A_n)}(v) = k$ . Now we can apply the corollary of Lemma 4.4 described in the proof of Theorem 4.3 to turn  $v_2$  into a canonical presentation of  $v$ , say  $v'_2$ , with  $\ell_{T(A_n)}(v'_2) = k$ . Since  $v_1$  and  $v'_2$  are two canonical presentations of the same permutation, according to Theorem 4.2 they are actually the same presentation, i.e.  $r = k$ .  $\square$

In the rest of this paper we will explore the natural length function with respect to  $T(A_n)$ . For this purpose we will use the equivalence to the length of the canonical expression proved above, as needed.

5. COUNTING PERMUTATIONS IN  $A_n$ 

In this section we study the number of permutations in  $A_n$  of a given length with respect to  $T(A_n)$ . A Stirling-type recurrence relation for this statistic is described.

**Definition 5.1.** *Let*

$$A(n, m) = \{v \in A_n \mid \ell_{T(A_n)}(v) = m\}$$

*and*

$$a(n, m) = |A(n, m)|$$

**Proposition 5.2.** *For  $n \geq 3$ ,*

$$a(n, 1) = a(n-1, 1) + n - 1$$

**Proof of Proposition 5.2.** By Definition 4.1,  $R_n \setminus \{e\}$  is the subset of generators of  $A_n$  that do not belong to  $A_{n-1}$ ; namely  $R_n \setminus \{e\} = (T(A_n) \setminus T(A_{n-1})) = \{(12)(nj) \mid 1 \leq j < n\}$ . These are the generators that involve the new letter  $n$ . Thus  $|R_n| = n$ . For every  $n$ ,  $A(n, 1) = T(A_n)$ , and since  $T(A_n) = T(A_{n-1}) \cup (R_n \setminus \{e\})$ , disjoint union, we conclude  $a(n, 1) = a(n-1, 1) + n - 1$ .  $\square$

**Theorem 5.3.**

$$A(n, m) = A(n-1, m-1) \cdot R_n \cup A(n-1, m),$$

*disjoint union.*

**Proof of Proposition 5.3.** By two-sided set inclusion. First we will prove that  $A(n, m) \supseteq A(n-1, m-1) \cdot R_n \cup A(n-1, m)$ . Note that the right hand side of the equation is a disjoint union, according to the  $A_n$  canonical presentation properties.

$A(n-1, m) \subseteq A(n, m)$  because a permutation  $v$  of length  $m$  in  $A_{n-1}$  is also of length  $m$  in  $A_n$ . The new generators in  $A_n$  can not shorten the length of  $v$  because they involve the new letter  $n$  which is a fixed point in  $v$ .

Let  $v \in A(n-1, m-1)$ , and consider its canonical presentation. Multiply  $v$  by  $w \in R_n$  from the right side to have the canonical presentation of a permutation  $v \cdot w \in A_n$  of length  $m-1+1 = m$ , i.e.  $v \cdot w \in A(n, m)$ .

We showed that each part of the union on the right hand side of the equation contained in the left hand side, therefore the union itself is also contained in the left side. That proves the first inclusion. Now we will show that  $A(n, m) \subseteq A(n-1, m-1) \cdot R_n \cup A(n-1, m)$ . Let  $v \in A(n, m)$

- (1) If  $n$  is a fixed point in  $v$  then  $v \in A(n-1, m)$  with the same canonical presentation.
- (2) Otherwise,  $n$  is not a fixed point and therefore the canonical presentation of  $v$  is as follows.

$$v = \underbrace{r_1 \cdots r_{k-1}}_{\in A(n-1, m-1)} \cdot \underbrace{r_n}_{\in (R_n \setminus \{e\})}, \quad r_i \in R_i, \quad 1 \leq i \leq n$$

$r_n \in R_n \setminus \{e\}$  because  $n$  is not a fixed point and must appear in the presentation. The above canonical presentation of  $v$  shows the required inclusion.  $\square$

From Proposition 5.2 and Theorem 5.3 we can conclude the following relation.

**Corollary 5.4.** *For  $1 \leq m \leq n-2$ ,*

$$a(n, m) = a(n-1, m-1) \cdot (n-1) + a(n-1, m).$$



## 6. RELATION BETWEEN LENGTH AND CYCLE NUMBER

In this section we show that the length  $\ell_{T(A_n)}(\cdot)$  and the number of cycles  $cyc(\cdot)$  are strongly related statistics on  $A_n$ .

**Observation 6.1.** For  $n \geq 3$ , and  $v \in A(n, 1)$ ,  $cyc(v) = n - 2$

In other words, the number of cycles in a generator of  $A_n$  is  $n - 2$ .

**Proof of Observation 6.1.** Every generator  $v \in A_n$  is of the form  $(12)(in)$ , where  $1 \leq i < n$ . If  $i = 1$ , or  $i = 2$  then  $v$  has one cycle of length 3 and  $n - 3$  cycles of length 1 (fixed points). That sums to  $n - 2$  cycles. Otherwise  $i > 2$  and then  $v$  has two cycles of length 2 and  $n - 4$  more cycles of length 1. That also sums to  $n - 2$  cycles in  $v$ .  $\square$

**Corollary 6.2.** For every  $n \geq 2$  and  $v \in A_n$ , the letters 1, 2 are in the same cycle in  $v$  if and only if  $\ell_{T(A_n)}(v)$  is odd.

**Proof of Corollary 6.2.** If  $v \in A_n$  is of length one, 1, 2 share the same cycle since the structure of a generator is  $(12)(ij)$ . In length two, 1, 2 appear in different cycles because of the multiplication process described in the proof of Theorem 5.3. For length three, 1, 2 are in the same cycle according to the same process, and so on and so forth. For odd length, the letters 1, 2 are in the same cycle and for even length they are in a different cycles. That proves both sides of the proposition.  $\square$

**Theorem 6.3.** For  $n \geq 3$  and  $v \in A_n$ ,

$$cyc(v) = \begin{cases} n - \ell_{T(A_n)}(v) & \text{if } \ell_{T(A_n)}(v) \text{ is even,} \\ n - \ell_{T(A_n)}(v) - 1 & \text{if } \ell_{T(A_n)}(v) \text{ is odd.} \end{cases}$$

**Proof of Theorem 6.3.** By induction on  $n$ . For  $n = 3$ ,  $A_3 = \{(12)(13) = (213), (12)(23) = (123), e = (1)(2)(3)\}$  and the claim follows. Assume that for each  $v \in A_n$

$$cyc(v) = \begin{cases} n - \ell_{T(A_n)}(v) & \text{if } \ell_{T(A_n)}(v) \text{ is even,} \\ n - \ell_{T(A_n)}(v) - 1 & \text{if } \ell_{T(A_n)}(v) \text{ is odd.} \end{cases}$$

Now,  $w \in A_{n+1}$  can be obtained in two ways by Theorem 5.3. First, by multiplying  $v \in A_n$  by  $r \in R_{n+1}$ , and secondly by adding the letter  $n + 1$  as fixed point to some  $v \in A_n$ . Both cases will be analyzed.

- (1) In this case  $w = vr$  for  $v \in A_n$ ,  $r \in R_{n+1}$ . If  $\ell_{T(A_n)}(v)$  is even then, by Corollary 6.2, the letters 1, 2 are in different cycles in  $v$  and therefore they will be in the same cycle in  $w$ , thus  $cyc(w) = cyc(v) - 1$  (see Theorem 5.3 for details). The length of  $w$  is  $\ell_{T(A_{n+1})}(w) = \ell_{T(A_n)}(v) + 1$ . By the induction hypothesis,

$$cyc(w) = cyc(v) - 1 = n - \ell_{T(A_n)}(v) - 1 = n - \ell_{T(A_{n+1})}(w) = (n + 1) - \ell_{T(A_{n+1})}(w) - 1,$$

as required. If  $\ell_{T(A_n)}(v)$  is odd then, by Corollary 6.2 and Theorem 5.3,  $cyc(w) = cyc(v) + 1$  and  $\ell_{T(A_{n+1})}(w) = \ell_{T(A_n)}(v) + 1$ . By the induction hypothesis,

$$cyc(w) = cyc(v) + 1 = n - \ell_{T(A_n)}(v) - 1 + 1 = n - \ell_{T(A_{n+1})}(w) + 1 = (n + 1) - \ell_{T(A_{n+1})}(w),$$

as required.

- (2) In this case  $w = v$  for some  $v \in A_n$ , where the letter  $n + 1$  is a fixed point in  $w$ . Here,  $cyc(w) = cyc(v) + 1$  and  $\ell_{T(A_{n+1})}(w) = \ell_{T(A_n)}(v)$ . If  $\ell_{T(A_n)}(v)$  is even,

$$cyc(w) = cyc(v) + 1 = n - \ell_{T(A_n)}(v) + 1 = n - \ell_{T(A_{n+1})}(w) + 1 = (n + 1) - \ell_{T(A_{n+1})}(w),$$

as required, and if  $\ell_{T(A_n)}(v)$  is odd,

$$cyc(w) = cyc(v) + 1 = n - \ell_{T(A_n)}(v) - 1 + 1 = n - \ell_{T(A_{n+1})}(w) = (n + 1) - \ell_{T(A_{n+1})}(w) - 1,$$

as required.

In both cases the relation between cycle number and length holds, therefore the Theorem is proved.  $\square$

The following relation is By Corollary 6.2 and Theorem 6.3.

**Corollary 6.4.** *Let  $v \in A_n$ .*

$$(6.1) \quad \ell_{T(A_n)}(v) = \begin{cases} n - \text{cyc}(v) & \text{if } 1, 2 \text{ are in different cycles of } v, \\ n - \text{cyc}(v) - 1 & \text{if } 1, 2 \text{ in the same cycle of } v \end{cases}$$

Equation (6.1) provides a simple way to find the length of a permutation  $v$  given as a product of disjoint cycles.

Theorem 6.3 implies that all the permutations of the same length in  $A_n$  have the same number of cycles.

**Definition 6.5.**

$$m(n, k) = \text{number of cycles in a permutation } v \in A_n \text{ of length } \ell_{T(A_n)}(v) = k$$

## 7. GENERATING FUNCTION OF LENGTH IN $A_n$

An explicit formula for the generating function of the length in  $A_n$ , with respect to the generating set  $T(A_n)$ , is given in this section.

According to Theorem 6.3, the number  $m(n, k)$ , of cycles in a permutation  $v \in A_n$  of length  $k$ , can be calculated by the following formula.

$$(7.1) \quad m(n, k) = \begin{cases} n - k, & \text{if } k \text{ is even,} \\ n - k - 1, & \text{if } k \text{ is odd.} \end{cases}$$

A well known result from  $S_n$  is

$$(7.2) \quad m(n, k) = n - k$$

where the length  $k$  is taken with respect to the generating set  $T = \{(ij) \mid 1 \leq i < j \leq n\}$ , namely all the transpositions in  $S_n$ . Since the number of cycles in a permutation is independent of the generating set, we can conclude from equations (7.1) and (7.2) that for  $v \in A_n$

$$(7.3) \quad \ell_T(v) = \begin{cases} \ell_{T(A_n)}(v), & \text{if } \ell_{T(A_n)}(v) \text{ is even,} \\ \ell_{T(A_n)}(v) + 1, & \text{if } \ell_{T(A_n)}(v) \text{ is odd,} \end{cases}$$

where  $\ell_T(v)$  is the length with respect to  $T$ . Note that in each of the cases  $\ell_T(v)$  is even, which complies with the fact that we deal with even permutations in  $S_n$ . From equation (7.3) we can conclude that the number of permutations of even length  $k$  in  $S_n$  equals the sum of the number of permutations of lengths  $k$  and  $k - 1$  in  $A_n$ . Since the number of permutations of length  $k$  in  $S_n$  with respect to  $T$  is the unsigned Stirling number of the first kind  $c(n, n - k)$ , we can deduce the following equation for even  $k$ .

$$(7.4) \quad c(n, n - k) = a(n, k) + a(n, k - 1).$$

Furthermore,

**Claim 7.1.** *Equation (7.4) holds also for odd  $k \in \mathbb{N}$ .*

**Proof of Claim 7.1.** Let  $k + 1$  be even. Using the recursive relation of Stirling numbers we can develop the left hand of equation 7.4 to have

$$\begin{aligned} c(n, n - (k + 1)) &= c(n - 1, n - (k + 1) - 1) + c(n - 1, n - (k + 1)) \cdot (n - 1) = \\ &= c(n - 1, n - 1 - (k + 1)) + c(n - 1, n - 1 - ((k + 1) - 1)) \cdot (n - 1) = \\ &= c(n - 1, n - k - 2) + c(n - 1, n - 1 - k) \cdot (n - 1) \end{aligned}$$

Switching sides gives the following result.

$$(n - 1) \cdot c(n - 1, n - 1 - k) = c(n, n - k - 1) - c(n - 1, n - k - 2)$$

The expressions at right hand side represent even length, so we can use equation 7.4 and conclusion 5.4 to obtain the desired result.

$$\begin{aligned} (n - 1) \cdot c(n - 1, n - 1 - (k - 1)) &= a(n, k) + a(n, k - 1) - a(n - 1, k) - a(n - 1, k - 1) = \\ &= a(n - 1, k - 1) \cdot (n - 1) + a(n - 1, k) + a(n - 1, k - 2) \cdot (n - 1) + a(n - 1, k - 1) - a(n - 1, k) - a(n - 1, k - 1) \\ &= a(n - 1, k - 1) \cdot (n - 1) + a(n - 1, k - 2) \cdot (n - 1) \end{aligned}$$

Divide both hand sides by  $(n - 1)$  the following is deduced, for odd  $k$ .

$$c(n - 1, n - 1 - k) = a(n - 1, k) + a(n - 1, k - 1)$$

□

The following generating function for unsigned Stirling numbers of the first kind is well known [4, pp. 213].

$$(7.5) \quad \sum_{k=1}^n c(n, k) \cdot x^{n-k} = (1 + x)(1 + 2x) \cdots (1 + (n - 1)x)$$

By equation (7.4),

$$\sum_{k=0}^n c(n, n - k) \cdot x^k = \sum_{k=0}^n a(n, k) \cdot x^k + \sum_{k=0}^n a(n, k - 1) \cdot x^k$$

Using equation 7.5 for the left hand side, we have the following.

$$\begin{aligned} (1 + x)(1 + 2x) \cdots (1 + (n - 1)x) &= \\ &= \sum_{k=0}^n a(n, k) \cdot x^k + x \cdot \sum_{k=0}^n a(n, k - 1) \cdot x^{k-1} = (1 + x) \cdot \sum_{k=0}^n a(n, k) \cdot x^k \end{aligned}$$

Divide both hand sides by  $(x + 1)$  to get the generating function of length in  $A_n$  with respect to the generating set  $T(A_n)$ .

**Theorem 7.2.**

$$\begin{aligned} (7.6) \quad \sum_{k=0}^n a(n, k) \cdot x^k &= (1 + 2x)(1 + 3x) \cdots (1 + (n - 1)x) \\ &= \prod_{t=2}^{n-1} (1 + tx) \end{aligned}$$

## 8. EXPECTATION AND VARIANCE

In this section the expectation and variance of the length function in  $A_n$  will be studied.

**Definition 8.1.** Let  $A$  be a finite set, and  $s : A \rightarrow \mathbb{R}$  a real function. The expectation of  $s$  is defined

$$E[s] := \frac{1}{|A|} \sum_{a \in A} s(a)$$

and the variance of  $s$  is defined

$$\text{Var}[s] := E[s^2] - E^2[s]$$

Given a generating function of  $s$ , we can use it to calculate these statistics. The following formulas are well-known.

**Proposition 8.2.** Let

$$F_s(x) := \sum_{a \in A} x^{s(a)}$$

be the generating function of  $s$ . Then

$$E[s] = \frac{1}{|A|} F'_s(x) \Big|_{x=1}$$

and

$$\text{Var}[s] = \frac{1}{|A|} \left[ F''_s(x) + F'_s(x) - \frac{1}{|A|} (F'_s(x))^2 \right] \Big|_{x=1}$$

**Definition 8.3.** Recall the definitions of harmonic numbers (see Definitions 2.21 and 2.22).

$$H_n = 1 + \frac{1}{2} + \frac{1}{3} + \cdots + \frac{1}{n}$$

$$H_{n,m} = 1 + \frac{1}{2^m} + \frac{1}{3^m} + \cdots + \frac{1}{n^m}$$

**Theorem 8.4.** The expected value of  $\ell_{T(A_n)}$  is

$$E[\ell_{T(A_n)}] = n - H_n - \frac{1}{2}$$

and its variance is

$$\text{Var}[\ell_{T(A_n)}] = H_n - H_{n,2} - \frac{1}{4}$$

**Proof** of Theorem 8.4. Compute the derivative of the generating function of length (see Theorem 7.2) as a product of functions.

$$\left( \prod_{t=2}^{n-1} (1+tx) \right)' = \left( \prod_{t=2}^{n-1} (1+tx) \right) \sum_{t=2}^{n-1} \frac{t}{1+tx}.$$

Thus, by Proposition 8.2,

$$E[\ell_{T(A_n)}] = \frac{1}{|A_n|} \left( \prod_{t=2}^{n-1} (1+tx) \right) \sum_{t=2}^{n-1} \frac{t}{1+tx} \Big|_{x=1} = \frac{2}{n!} \cdot \frac{n!}{2} \left( n-2 - \sum_{t=2}^{n-1} \frac{1}{1+t} \right) = n - H_n - \frac{1}{2}$$

The variance calculation follows.

$$\begin{aligned}
\left(\prod_{t=2}^{n-1}(1+tx)\right)'' &= \left[\left(\prod_{t=2}^{n-1}(1+tx)\right)\sum_{t=2}^{n-1}\frac{t}{1+tx}\right]' \\
&= \left(\prod_{t=2}^{n-1}(1+tx)\right)\sum_{t=2}^{n-1}\frac{t}{1+tx}\sum_{t=2}^{n-1}\frac{t}{1+tx} + \left(\prod_{t=2}^{n-1}(1+tx)\right)\sum_{t=2}^{n-1}\frac{-t^2}{(1+tx)^2} \\
\text{Var}[\ell_{T(A_n)}] &= \frac{1}{|A_n|}\left[\left(\prod_{t=2}^{n-1}(1+tx)\right)'' + \left(\prod_{t=2}^{n-1}(1+tx)\right)' - \frac{1}{|A_n|}\left(\left(\prod_{t=2}^{n-1}(1+tx)\right)'\right)^2\right]_{x=1} \\
&= \frac{2}{n!}\left[\left(\prod_{t=2}^{n-1}(1+tx)\right)\sum_{t=2}^{n-1}\frac{t}{1+tx}\sum_{t=2}^{n-1}\frac{t}{1+tx} + \left(\prod_{t=2}^{n-1}(1+tx)\right)\sum_{t=2}^{n-1}\frac{-t^2}{(1+tx)^2}\right. \\
&\quad \left.+ \left(\prod_{t=2}^{n-1}(1+tx)\right)\sum_{t=2}^{n-1}\frac{t}{1+tx} - \frac{2}{n!}\left(\left(\prod_{t=2}^{n-1}(1+tx)\right)\sum_{t=2}^{n-1}\frac{t}{1+tx}\right)^2\right]_{x=1} \\
&= \frac{2}{n!}\left[\frac{n!}{2}\left(n - H_n - \frac{1}{2}\right)^2 + \frac{n!}{2}\left(2H_n + \frac{1}{4} - n - H_{n,2}\right) + \frac{n!}{2}\left(n - H_n - \frac{1}{2}\right)\right. \\
&\quad \left.- \frac{2}{n!}\left(\frac{n!}{2}\left(n - H_n - \frac{1}{2}\right)\right)^2\right] \\
&= H_n - H_{n,2} - \frac{1}{4}
\end{aligned}$$

□

## 9. CONNECTION WITH RESTRICTED STIRLING NUMBERS

This section discusses the relation between our statistic  $a(n, m)$  and 2-restricted Stirling numbers of the first kind (see Broder [2, §1]). This relation was initially established using the On-Line Encyclopedia of Integer Sequences [11].

Recall Definition 2.13 of the  $r$ -restricted Stirling numbers of the first kind,  $[n]_r$ . We shall use it with  $r = 2$ .  $[n]_1 = c(n, k)$  is the usual(unrestricted) Stirling numbers of the first kind.

**Claim 9.1.** (See Broder [2, §3, Thm. 3] for a generalized version)

$$c(n, k) = \left[n\right]_2 + \left[n\right]_{k+1, 2}$$

**Theorem 9.2.** The number of permutations in  $A_n$  of length  $\ell_{T(A_n)}(\cdot) = k$  is equal to a corresponding 2-restricted stirling number. Namely,

$$a(n, k) = \left[n\right]_{n-k, 2}, \quad (0 \leq k \leq n-2)$$

We will give two proofs to Theorem 9.2. The first proof is algebraic and the second is a direct bijection between two sets.

**Proof of Theorem 9.2.** From claims 9.1 and 7.1 we can deduce the following equation.

$$a(n, k) + a(n, k-1) = \left[n\right]_{n-k, 2} + \left[n\right]_{n-k+1, 2}, \quad (0 \leq k \leq n-1)$$

Now the Theorem can be proved by induction on  $k$ . By assumption,  $n \geq 2$ . For  $k = 0$  we have  $a(n, 0) = 1$  and  $[n]_2 = 1$ . The claim  $a(n, k) = [n-k]_2$  now follows by induction on  $k$ .

**Definition 9.3.** *Let*

$$P(n, k) = \{v \in S_n \mid \text{cyc}(v) = k \text{ and } 1, 2 \text{ are in different cycles in } v\}$$

An explicit bijection between the sets  $A(n, k)$  and  $P(n, n - k)$  will be presented.

**A Bijective Proof of Theorem 9.2.** Define a map  $f : A(n, k) \rightarrow P(n, n - k)$

$$f(v) = \begin{cases} v & \text{if } \ell_{T(A_n)}(v) \text{ is even} \\ (1, 2)v & \text{if } \ell_{T(A_n)}(v) \text{ is odd} \end{cases}$$

We will show that  $f$  is one-to-one and onto  $P(n, n - k)$ .

- (1) Consider  $v_1, v_2 \in A(n, k)$  with  $f(v_1) = f(v_2)$ . If  $v_1, v_2$  are both of even length, or both of odd length then, by the definition of  $f$ ,  $v_1 = v_2$ . If  $v_1$  is of even length and  $v_2$  is of odd length or vice versa then, by the definition of  $f$  and the fact that  $f(v_1) = f(v_2)$ ,  $v_1 = (1, 2)v_2$ . This contradicts the assumption that  $v_1, v_2 \in A(n, k)$ , and therefore impossible. Since only the first case is feasible,  $v_1 = v_2$  and  $f$  is one-to-one.
- (2) Consider  $w \in P(n, n - k)$ . The length of  $w$  in  $S_n$ ,  $\ell_T(w)$ , is  $k$ . If  $k$  is even then  $w \in A_n$ . By Corollaries 6.2 and 6.4  $\ell_{T(A_n)}(w) = k$ , therefore  $w \in A(n, k)$  and  $f(w) = w$ . If  $k$  is odd then  $(1, 2)w \in A_n$ . By Corollaries 6.2 and 6.4  $\ell_{T(A_n)}((1, 2)w) = k$ , therefore  $(1, 2)w \in A(n, k)$  and  $f((1, 2)w) = w$ . This proves that  $f$  is onto  $P(n, n - k)$ .  $\square$

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